EXHIBIT 13 REDACTED VERSION OF DOCUMENT SOUGHT TO BE SEALED

Case 3:17-cv-00939-WHA Document 1549-4 Filed 09/13/17 Page 2 of 22 HIGHLY CONFIDENTIAL - ATTORNEYS EYES ONLY

1	UNITED STATES DISTRICT COURT
2	NORTHERN DISTRICT OF CALIFORNIA
3	SAN FRANCISCO DIVISION
4	000
5	WAYMO LLC,
6	Plaintiff,
	Case
7	vs. No. 3:17-cv-00939-WHA
8	UBER TECHNOLOGIES, INC.;
	OTTOMOTTO LLC; OTTO TRUCKING LLC,
9	
	Defendants.
10	/
11	
12	
13	
14	HIGHLY CONFIDENTIAL - ATTORNEYS' EYES ONLY
15	
16	VIDEOTAPED DEPOSITION OF JOHN WILLIAM GURLEY
17	THURSDAY, AUGUST 24, 2017
18	
19	
20	
21	Reported by:
22	Anrae Wimberley
23	CSR No. 7778
24	Job No. 2687934
25	PAGES 1 - 182
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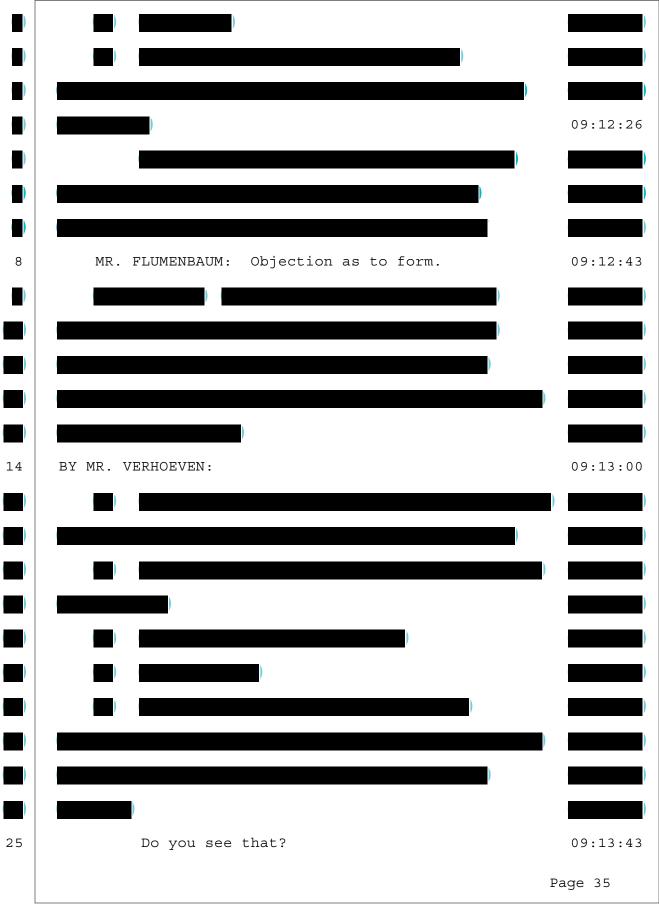
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	Defendants.
10	/
11	
12	
13	HIGHLY CONFIDENTIAL - ATTORNEYS' EYES ONLY
14	
15	Transcript of video-recorded deposition of
16	JOHN WILLIAM GURLEY taken at Morrison & Foerster LLP,
17	425 Market Street, 33rd Floor, San Francisco,
18	California, beginning at 8:37 a.m. and ending at 1:09
19	p.m. on Thursday, August 24, 2017, before Anrae
20	Wimberley, Certified Shorthand Reporter No. 7778.
21	
22	
23	
24	
25	
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1	know where that technology was evolving relative to	08:58:16
2	our service.	08:58:17
3	Q. And how often did this come up with the	08:58:21
4	board?	08:58:22
5	A. I would say only around Carnegie Mellon	08:58:39
6	and Otto were the only two times where it was	08:58:43
7	discussed in depth. I don't think it was a frequent	08:58:50
8	topic of every board meeting.	08:58:52
9	Q. Are you still with on the board at Uber?	08:59:05
10	A. I am not.	08:59:06
11	Q. When did you cease being on the board?	08:59:08
12	A. I believe it was mid to late June of this	08:59:17
13	year.	08:59:17
14	Q. And I may have asked this and, if I did, I	08:59:22
15	apologize.	08:59:22
16	But when did you first become a board member?	08:59:25
17	A. In January of 2011.	08:59:27
18	Q. When you were a member of the board, is it a	08:59:33
19	fair statement to say that you were very engaged with	08:59:37
20	the company?	08:59:41
21	MR. FLUMENBAUM: Objection as to form.	08:59:51
22	THE WITNESS: I'll answer yes. There's a question	08:59:54
23	as to whether like relative to what, you know.	08:59:58
24	BY MR. VERHOEVEN:	08:59:58
25	Q. Is it fair to say that you were the most	09:00:00
	Po	age 26

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1	A. I don't recall any.	10:32:33
2	Q. Who comprised the executive team at that	10:32:38
3	time?	10:32:38
4	A. I don't know if I'll get them all.	
] I'm	10:33:26
9	probably leaving somebody out.	10:33:28
10	Q. Was Travis on the team?	10:33:30
11	A. Yeah, I mean, he's CEO, so, yeah.	10:33:37
12	may have left by then.	10:33:39
13	Q. Did Mr. Kalanick agree, when you expressed	10:33:45
14	this to the executive team, that Mr. Levandowski	10:33:48
15	should be terminated?	10:33:52
16	A. I don't recall if I had a direct discussion	10:33:55
17	with him, although probably at a board level, it was	10:33:58
18	the general understanding of the team that he did not	10:34:04
19	want to terminate Anthony.	10:34:06
20	Q. Do you recall what the reasons that he	10:34:17
21	stated for why he did not	10:34:19
22	A. Yeah, the statement I remember is that he	10:34:21
23	didn't do anything wrong, so why should we terminate	10:34:24
24	him?	10:34:25
25	Q. And what was said in response to that? And	10:34:32
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1	if you can recall, who said it? For example, did	10:34:42
2	someone say, then why is he taking the Fifth?	10:34:45
3	MR. BRILLE: Object to form.	10:34:47
4	THE WITNESS: I can certainly say that my opinion	10:34:53
5	at that moment in time was that his taking the Fifth	10:34:56
6	should result in his termination, based on my best	10:35:02
7	knowledge of how that situation should be dealt with.	10:35:06
8	BY MR. VERHOEVEN:	10:35:06
9	Q. And did you referenced conversations with	10:35:10
10	the board on this subject?	10:35:12
11	A. Yes.	10:35:12
12	Q. How many such conversations were there?	10:35:16
13	A. I can't remember specifically, but my general	10:35:21
14	recollection is that it spanned multiple board	10:35:24
15	meetings.	10:35:31
16	Q. And your position to the board was that he	10:35:34
17	should be terminated?	10:35:35
18	A. Yes.	10:35:35
19	Q. And you made that clear on the first of these	10:35:41
20	multiple board meetings?	10:35:43
21	A. Once I'd gotten up to speed and had proper	10:35:48
22	knowledge of what I thought was the best to do,	10:35:55
23	which as I said earlier, there was a time window	10:35:58
24	where that happened. So it wasn't my voicing of	10:36:06
25	this opinion wasn't immediate, like right after he	10:36:09
	Pa	age 84

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1	him and terminate, for the reasons that I've	10:46:50
2	discussed.	10:46:51
3	Q. Right.	10:46:51
4	So but I'm asking you specifically, at the	10:46:54
5	board meeting, Kalanick repeated his view	10:46:59
6	A. Right.	
7	Q that Levandowski didn't do anything	10:47:02
8	wrong	10:47:03
9	A. I think I understand your question?	
10	I don't remember if there were specific	10:47:06
11	conversations that said, well, if he didn't do	10:47:08
12	anything wrong, why would he plead the Fifth? I don't	10:47:10
13	remember if that happened. It might have.	10:47:13
14	Q. Well, do you remember was there response	10:47:15
15	to Mr. Kalanick at the meeting, after he made that	10:47:19
16	statement, just generally? There was a discussion;	10:47:25
17	right?	10:47:26
18	A. Yeah, I think there was a discussion and I	10:47:28
19	think and I don't recall exactly who chimed in, but	10:47:32
20	there was others, like me, that felt that taking the	10:47:38
21	Fifth should be dealt with.	10:47:40
22	Q. And who were those people?	10:47:42
23	A. I just said I don't recall exactly who was on	10:47:45
24	that point of view.	10:47:46
25	Q. Do you remember anyone on the board that you	10:47:49
	Pa	age 94

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1	order tha	at was public?	10:59:58
2	А.	I didn't have any perspectives that were	11:00:08
3	outside o	of a discussion from counsel on that topic.	11:00:13
4	Q.	Why didn't Uber fire Mr. Levandowski upon the	ne 11:00:20
5	issuance	of the preliminary injunction?	11:00:23
6	Α.	I can't speak to that because I wasn't in a	11:00:32
7	position	to have authority to make that decision.	11:00:35
8	Q.	Who was?	11:00:36
9	Α.	Presumably Travis, the CEO.	11:00:39
10	Q.	So the board didn't have authority to direct	11:00:42
11	that I	I thought you withdrawn.	11:00:46
12		I thought you previously mentioned that you	11:00:48
13	had recor	mmended that he be terminated	11:00:50
14	Α.	I had. I had.	11:00:52
15	Q.	at a board meeting.	11:00:53
16	Α.	Yeah.	11:00:54
17	Q.	But the board didn't have authority to orde:	r 11:00:56
18	that?		11:00:57
19	Α.	The board did not order that, if that's you	r 11:01:00
20	question		11:01:00
21	Q.	But they had the authority to?	11:01:03
22	Α.	I suppose they could have made a motion and	11:01:06
23	voted to	do that.	11:01:08
24	Q.	And you encouraged the board to do that?	11:01:11
25	A.	I encouraged the board to terminate once I	11:01:13
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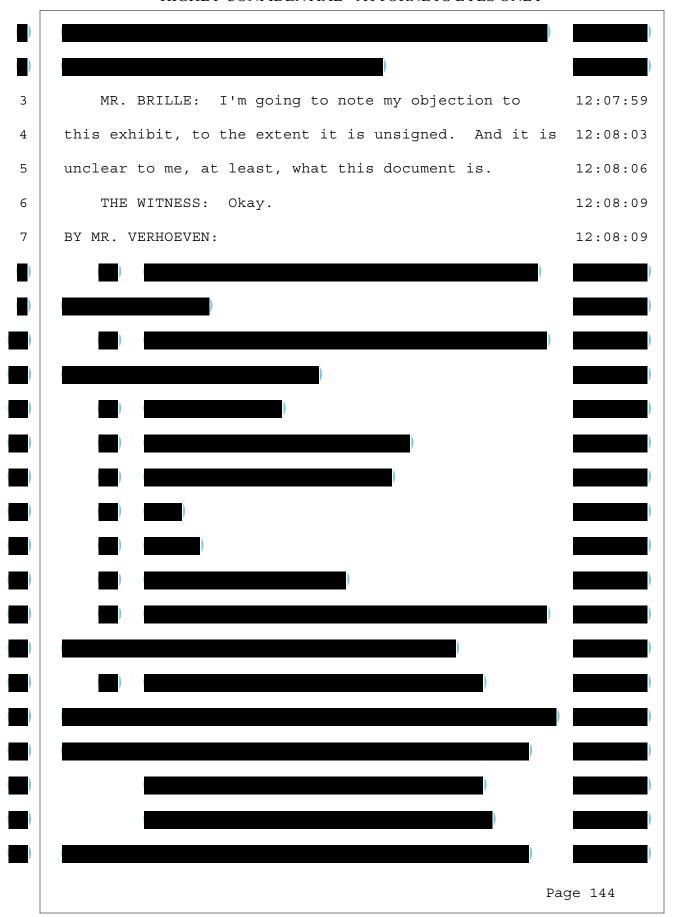
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1	had an understanding of what my interpretation was of	11:01:18
2	him pleading the Fifth.	
5	Q. After the issuance of the preliminary	11:01:36
6	injunction order, did you have any discussions with	11:01:39
7	Mr. Kalanick about terminating Mr. Levandowski?	11:01:42
8	A. Not specifically related to that event.	11:01:47
9	Q. Okay. So it didn't cause you to have any	11:01:50
10	more conversations with Mr. Kalanick?	11:01:54
11	A. No. But I had already determined that I	11:01:56
12	thought the best course of action was termination. So	11:01:58
13	like I was not more compelled; I was already	11:02:02
14	compelled.	11:02:04
15	Q. Did you discuss the preliminary injunction	11:02:05
16	order with Mr. Kalanick and repeat your	11:02:09
17	recommendation?	11:02:10
18	A. Not outside of a privileged conversation, no.	11:02:14
19	Q. Was there a board meeting about the	11:02:19
20	preliminary injunction?	11:02:20
21	A. I don't remember if there was one called. I	11:02:23
22	don't think so. There were lots of board meetings at	11:02:27
23	this moment in time.	11:02:29
24	Q. Do you recall receiving withdrawn.	11:02:34
25	Did you ask to see the due diligence report	11:02:39
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1	Q. And it's possible you communicated that to	11:41:22
2	Mr. Levandowski or to Mr. Kalanick?	11:41:25
3	A. It's possible, but I don't have	11:41:26
4	MR. FLUMENBAUM: Not Levandowski.	11:41:27
5	THE WITNESS: Right.	11:41:28
6	I don't have specific recollection of having	11:41:31
7	done that, but it's possible.	11:41:33
8	BY MR. VERHOEVEN:	11:41:33
9	Q. It's more than likely; right?	11:41:35
10	A. I don't know.	11:41:37
11	MR. FLUMENBAUM: Objection. Objection.	11:41:38
12	MR. VERHOEVEN: Do you want to take a break?	11:41:42
13	MR. FLUMENBAUM: Sure. Let's take a short break.	11:41:44
14	THE VIDEOGRAPHER: This marks the end of DVD No. 2	11:41:47
15	in the deposition of William Gurley. We're off the	11:41:49
16	record at 11:41 a.m.	11:41:51
17	(Recess taken.)	11:41:51
18	(Plaintiff's Exhibit 915 was marked.)	11:52:45
19	THE VIDEOGRAPHER: Back on the record.	11:52:53
20	This the beginning of DVD No. 3, and the time	11:52:56
21	is 11:52 a.m.	11:52:58
22	BY MR. VERHOEVEN:	11:52:58
23	Q. By May of 2017, were you aware that some	11:53:07
24	investors of Uber wanted Mr. Kalanick to resign as	11:53:13
25	CEO?	11:53:14
	 	ge 132

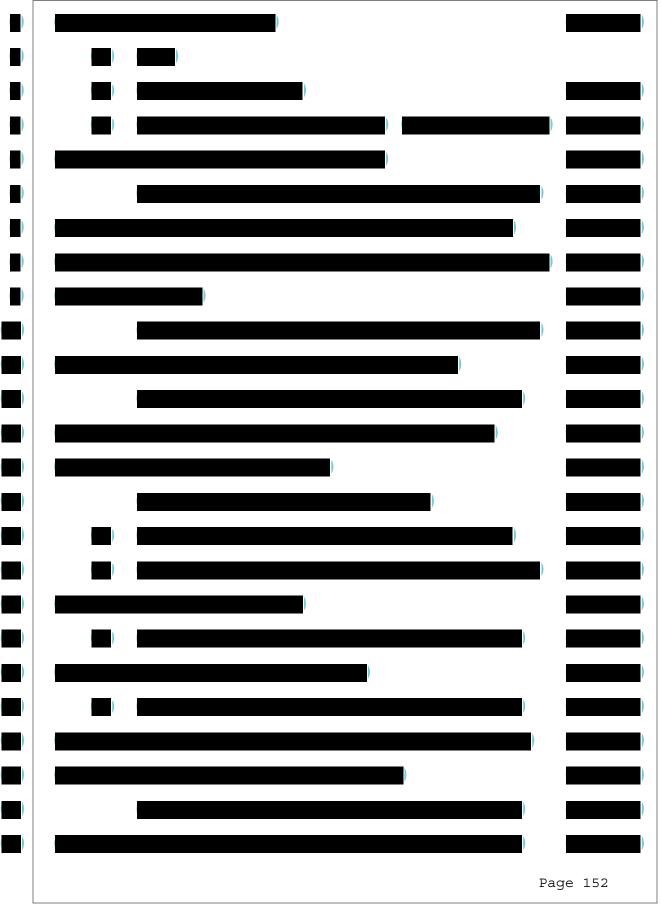
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1	Do you see that?	12:30:24
2	A. Yes.	12:30:25
3	Q. And this refers, in part, to your prior	12:30:30
4	testimony that if Benchmark had known about the	12:30:35
5	information contained in the Stroz report, it would	12:30:37
6	never have agreed to this amendment, right?	12:30:45
7	MR. BRILLE: Object to the form.	12:30:46
8	MR. FLUMENBAUM: Object to the form.	12:30:47
9	You can answer.	
10	BY MR. VERHOEVEN:	
11	Q. Well, you're correct. Let me rephrase.	12:30:51
12	This refers to your prior testimony that	12:30:54
13	Benchmark never would have approved the transaction	12:30:56
14	had it been aware of the Stroz report, correct?	12:31:02
15	MR. BRILLE: Same objection.	12:31:03
16	MR. FLUMENBAUM: Objection as to form, but you	12:31:05
17	may	12:31:06
18	THE WITNESS: The only clarification I would make	12:31:08
19	is that there are many other matters, also.	12:31:10
20	BY MR. VERHOEVEN:	
21	Q. Yeah.	
22	A. But this is one of those. Yes, correct.	12:31:14
23	Q. But it's your contention that Benchmark would	12:31:18
24	not have approved the amended certificate of	12:31:18
25	incorporation referenced here, or the voting	12:31:22
	Pag	ge 160

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1	With respect to the Otto acquisition, there's	12:33:57
2	actually more detail later in the complaint. But it's	12:34:00
3	become public knowledge, not involving the Stroz	12:34:05
4	report, that at the time the board was asked to	12:34:09
5	approve this, that that Travis and other members of	12:34:13
6	the management team had knowledge that there were five	12:34:16
7	disks that were in Anthony's possession, and that he	12:34:23
8	said there was Google information on those disks. So	12:34:25
9	that's now in the public record.	12:34:29
10	When you look at the we've already been	12:34:32
11	through it. But you look at the deal, and the fact	12:34:35
12	that so much of it weighed on him and the fact that	12:34:39
13	there were large indemnity provisions put aside	12:34:45
14	specifically for him, I don't know of a way you could	12:34:50
15	possibly present that to a board	
	e and and that be okay. Like, I I	12:35:02
17	can't fathom that.	12:35:05
18	BY MR. VERHOEVEN:	
19	Q. When you referred to "him," you're referring	12:35:08
20	to Mr. Levandowski, right, in that answer?	12:35:10
21	MR. BRILLE: Object to form.	12:35:13
22	THE WITNESS: It's in the public record that	12:35:15
23	that the Uber executives were aware I'll I'll	12:35:17
24	try not to use pronouns were aware that Anthony	12:35:21
25	Levandowski had the five disks.	12:35:24
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1	A. Yes, this section. That's fair. Correct.	12:38:46
2	Q. You've referenced there's a I'm sorry.	12:39:07
3	The complaint references:	12:39:12
4	"Kalanick praised Levandowski as one of the	12:39:15
5	world's leading autonomous engineers and an	12:39:20
6	entrepreneur with a real sense of urgency.	12:39:24
7	"Kalanick further described Levandowski as	12:39:26
8	his brother from another mother."	12:39:30
9	The allegation is and your belief is	12:39:34
10	that he was saying all that, but withholding the	12:39:37
11	information he had from the Stroz investigation;	12:39:41
12	right?	12:39:41
13	MR. BRILLE: Object to form.	12:39:44
14	MR. FLUMENBAUM: Object to form. You can try to	12:39:46
15	answer that.	12:39:49
16	THE WITNESS: These are these are taken from	12:39:50
17	from as you can see, from public statements that he	12:39:53
18	made.	12:39:54
19	His praise for Anthony in these public venues	12:39:59
20	is consistent with what he presented at the board	12:40:03
21	level, and so there's no inconsistency here.	12:40:06
22	I and as you as you assert, he did not	12:40:12
23	disclose these other details, you know. And I and	12:40:17
24	I had mentioned, and they're later in here in the	12:40:20
25	complaint, that some of that is now public with regard	12:40:23
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1	to the five-disk matter.	12:40:26
2	BY MR. VERHOEVEN:	12:40:26
3	Q. The last sentence of this paragraph says:	12:40:29
4	"In discussing the Otto transaction in 2016,	12:40:32
5	Kalanick repeatedly emphasized to Gurley and other	12:40:36
6	board members that Uber's acquisition of Otto,	12:40:39
7	employment of Anthony Levandowski, would be	12:40:41
8	transformative for Uber's business."	12:40:44
9	Do you see that?	12:40:45
10	A. I do.	12:40:46
11	Q. What is that referring to?	12:40:48
12	A. Once again, consistent with what we discussed	12:40:53
13	earlier, there was a a big part of the argument for	12:40:57
14	why we needed to do this transaction was to employ	12:41:01
15	Anthony Levandowski, who who Mr. Kalanick believed	12:41:04
16	was one of the leading experts on autonomous vehicles	12:41:07
17	in in the in the world.	12:41:10
18	Q. Was employing Anthony Levandowski worth	12:41:14
19	\$680 million?	12:41:17
20	MR. BRILLE: Object to form.	12:41:17
21	MR. FLUMENBAUM: Object to form. We've sort of	12:41:19
22	been over this.	12:41:20
23	You can answer it again.	12:41:22
24	THE WITNESS: Yeah, I don't I don't mind going	12:41:24
25	over it again.	12:41:25
	Pa	ge 167

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1	Do you see it's sprinkled through the	12:54:57
2	paragraph there?	12:54:58
3	A. Yes.	12:54:58
4	Q. When we testified earlier about this I	12:55:02
5	don't want to go over it again I think you just	12:55:05
6	said you and I just said "the Stroz report."	12:55:09
7	But were you referencing, specifically in	12:55:11
8	this time period, the interim findings of the Stroz	12:55:14
9	investigation?	12:55:16
10	MR. FLUMENBAUM: Objection as to form.	12:55:19
11	BY MR. VERHOEVEN:	12:55:19
12	Q. When you testified about if something had	12:55:22
13	been disclosed, if the Stroz report had been	12:55:25
14	disclosed, more accurately what you meant is if the	12:55:28
15	interim findings of the Stroz report of the Stroz	12:55:30
16	investigation had been disclosed; is that right?	12:55:33
17	A. This particular complaint was based on all	12:55:44
18	that information that was in the public record.	12:55:46
19	There are documents related to this lawsuit	12:55:50
20	that highlight that, as of this date, there were these	12:55:54
21	interim findings available.	12:55:55
22	Q. Right.	
23	A. And we're merely highlighting that those were	12:55:59
24	never disclosed to the board.	12:56:01
25	Q. Okay. I direct your attention to paragraph	12:56:12
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1	A. Nina Qi and Cameron.	12:59:24
2	Q. So with respect to the Waymo dispute or	12:59:29
3	the withdrawn.	12:59:30
4	With respect to the Otto acquisition, this	12:59:35
5	phrase you interpret to reference those two	12:59:37
6	individuals?	12:59:38
7	A. Yes.	12:59:38
8	Q. Has either of those two individuals been	12:59:47
9	terminated, to your knowledge?	12:59:49
10	A. No.	12:59:49
11	Q. All right.	
12	MR. FLUMENBAUM: Can I have a all right.	13:00:05
13	Forget it. Go ahead.	13:00:07
14	MR. VERHOEVEN: So what did you want to talk to	13:00:10
15	him about?	13:00:10
16	MR. FLUMENBAUM: No, just go ahead.	13:00:13
17	MR. VERHOEVEN: Okay.	13:00:13
18	BY MR. VERHOEVEN:	
19	Q. There came a time in which you resigned from	13:00:16
20	the board of Uber?	13:00:17
21	A. Correct.	13:00:17
22	Q. When was that, roughly?	13:00:19
23	A. I think it was a couple of days after Travis	13:00:27
24	signed the resignation letter we've already looked at.	13:00:32
25	Q. Why did you resign?	13:00:45
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1	A. The members of of our partnership and I	13:00:49
2	had a lengthy discussion about trying to whether or	13:00:56
3	not it made sense to swap out the board member that	13:01:00
4	represented Benchmark with Uber, in an effort to try	13:01:04
5	and move things forward in a positive direction.	13:01:08
6	The the conversations and back and forth	13:01:13
7	and events that led to the meeting in Chicago, I think	13:01:16
8	it's safe to say, had a strain on the relationship	13:01:21
9	between myself and and Mr. Kalanick. And it was	13:01:29
10	merely a decision from our firm to try and put a new	13:01:35
11	foot forward to try and create kind of a new day and	13:01:39
12	new relationship with the board.	13:01:41
13	Q. Did you have any discussions with anyone at	13:01:45
14	Uber about your resignation before you resigned?	13:01:48
15	A. I did not.	13:01:49
16	Q. What about with other board members?	13:01:51
17	A. I did not.	13:01:53
18	Q. Have you had any conversations with anybody	13:02:01
19	at Uber since you've resigned from the board?	13:02:04
20	A. Yeah. There were there were numerous	13:02:04
21	conversations, as part of the handoff process I was	13:02:13
22	involved in, all of those committees. I wanted to	13:02:16
23	make sure that that my partner got the benefit of	13:02:19
24	the you know, the transfer of information, that	13:02:23
25	kind of thing. We had a lot of meetings to make sure	13:02:26
	Pa	ge 175

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1	FEDERAL CERTIFICATE OF DEPOSITION OFFICER
2	I, ANRAE WIMBERLEY, CSR NO. 7778, do hereby
	declare:
3	That, prior to being examined, the witness named
	in the foregoing deposition was by me duly sworn
4	pursuant to Section 30(f)(1) of the Federal Rules of
	Civil Procedure and the deposition is a true record of
5	the testimony given by the witness;
6	That said deposition was taken down by me in
	shorthand at the time and place therein named and
7	thereafter reduced to text under my direction;
8	X That the witness was requested to
	review the transcript and make any changes to the
9	transcript as a result of that review pursuant to
	Section 30(e) of the Federal Rules of Civil Procedure;
10	No changes have been provided by the
	witness during the period allowed;
11	The changes made by the witness are
12	appended to the transcript;
13	No request was made that the transcript
	be reviewed pursuant to Section 30(e) of the Federal
14	Rules of Civil Procedure.
15	I further declare that I have no interest in the
	event of the action.
16	I declare under penalty of perjury under the laws
17	of the United States of America that the foregoing is
	true and correct.
18	WITNESS my hand this 25th day of August, 2017.
19	
20	
21	
22	01 70.01
23	Anna Welinherley
24	
25	ANRAE WIMBERLEY, CSR NO. 7778